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PTO/SB/05 (4/98) Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

APPLICATION ELEMENTS

(preferred arrangement set forth below)

- Descriptive title of the Invention

- Reference to Microfiche Appendix

- Background of the Invention - Brief Summary of the Invention

Abstract of the Disclosure

Drawing(s) (35 U.S.C. 113)

- Detailed Description

- Claim(s)

Oath or Declaration

Continuation

Prior application information:

Customer Number or Bar Code Labe !

TRW Inc. S&E Law Dept.

U.S.A

Name (Pnnt/Type)

Sianature

Redondo Beach

PATENT COUNSEL

One Space Park, Bldg. E2/6051

Noel F. Heal

Divisional

Examiner

Specification

Attorney Docket No. 22-0097 First Inventor or Application Identified Harvey L. Berger |See 1 in Addendum

Express Mail Label No. EF238909136US Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Assistant Commissioner for Patents ADDRESS TO: **Box Patent Application** See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 * Fee Transmittal Form (e.g., PTO/SB/17) Microfiche Computer Program (Appendix) 5. (Submit an original and a duplicate for fee processing) 6. Nucleotide and/or Amino Acid Sequence Submission [Total Pages (if applicable, all necessary) Computer Readable Copy - Cross References to Related Applications Paper Copy (identical to computer copy) Statement Regarding Fed sponsored R & D Statement verifying identity of above copies C **ACCOMPANYING APPLICATION PARTS** Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings (if filed) 37 C.F.R.§3.73(b) Statement [Power of X Attorney (when there is an assignee) English Translation Document (if applicable) 9 Copies of IDS Information Disclosure 10 Total Sheets 5 Statement (IDS)/PTO-1449 Citations Preliminary Amendment 11 Total Pages 7 Return Receipt Postcard (MPEP 503) 12. Newly executed (original or copy) (Should be specifically itemized) Copy from a prior application (37 C.F.R. § 1.63(d)) * Small Entity Statement filed in prior application (for continuation/divisional with Box 16 completed) Statement(s) Status still proper and desired **DELETION OF INVENTOR(S)** (PTO/SB/09-12 Certified Copy of Priority Document(s) Signed statement attached deleting 14 (if foreign priority is claimed) inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). 15. NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENTIS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28). 16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment of prior application No: Continuation-in-part (CIP) Group / Art Unit For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS Correspondence address below (Insert Customer No. or Attach bar code label here) 90278 State CA Zip Code 310-812-4910 Fax 310-812-2687 Telephone Registration No. (Attorney/Agent) 26,074

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

3.

X

Name

Address

Country

City

Attachment to PTO/SB/05 (4/98) Utility Patent Application Transmittal

1. METHOD AND APPARATUS FOR MAINTAINING INDEPENDENT, PARALLEL DATA STREAMS OVER SEPARATE MODULATION CHANNELS OF A MULTI-DIMENSIONAL MODULATOR

PTO/SB/17 (12/99)
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FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT

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Complete if Known				
Application Number				
Filing Date	July 31, 2001			
First Named Inventor	Harvey L. Berger			
Examiner Name	Unassigned			
Group / Art Unit	N/A			
Attorney Docket No.	22-0097			

METHOD OF PAYMENT (check one)				FE	EE CALCULATION (continued)	
1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit	Large Fee	DDITI Entity Fee	Smal	Entity Fee		Fee Paid
Account Number 20-1515	105	130	205	65	Surcharge - late filing fee or oath	0.00
Deposit	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	0.00
Account Name TRW Inc.	139	130	139	130	Non-English specification	0.00
Charge Any Additional Fee Required		2,520		2,520	For filing a request for reexamination	0.00
LA Under 37 CFR §§ 1 16 and 1 17	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	0.00
2. Payment Enclosed: Check Money Other Other	113	1,840*	113	1,840	 Requesting publication of SIR after Examiner action 	0.00
FEE CALCULATION	115	110	215	55	Extension for reply within first month	0.00
	116	380	216	190	Extension for reply within second month	0.00
1. BASIC FILING FEE Large Entity Small Entity	117	870	217	435	Extension for reply within third month	0.00
Fee Fee Fee Fee Description	118	1,360	218	680	Extension for reply within fourth month	0 00
Code (4)	128	1,850	228	925	Extension for reply within fifth month	0.00
101 690 201 345 Utility filling fee 710.00	119	300		150	Notice of Appeal Filing a brief in support of an appeal	0.00
107 480 207 240 Plant filing fee	120	300		150	Request for oral hearing	0.00
108 690 208 345 Reissue filing fee	121	260		130	Petition to institute a public use proceeding	0.00
114 150 214 75 Provisional filing fee	138			1,510	Petition to revive - unavoidable	0.00
SUBTOTAL (1) (\$) 710.00	140 141	110 1,210	240 241	55 605	Petition to revive - unintentional	0.00
2. EXTRA CLAIM FEES	142	1,210	242	605	Utility issue fee (or reissue)	0.00
Fee from Extra Claims below Fee Paid	143	430	243	215	Design issue fee	0.00
Total Claims 24 -20** = 4 x 18 = 72	144	580	244	290	Plant issue fee	0.00
Independent $9 - 3^{**} = 6 \times 80 = 480$	122	130	122	130	Petitions to the Commissioner	0.00
Claims Multiple Dependent	123	50	123	50	Petitions related to provisional applications	0.00
**or number previously paid, if greater, For Reissues, see below	126	240	126	240	Submission of Information Disclosure Stmt	0.00
Large Entity Small Entity Fee Fee Fee Fee Fee Description	581	40	581	40	Recording each patent assignment per	
Code (\$) Code (\$)	146	690	246	345	property (times number of properties) Filing a submission after final rejection	40.00
103 18 203 9 Claims in excess of 20 102 78 202 39 Independent claims in excess of 3					(37 ČFR § 1 129(a))	0.00
104 260 204 130 Multiple dependent claim, if not paid	149	690	249	345	For each additional invention to be examined (37 CFR § 1 129(b))	0.00
109 78 209 39 ** Reissue independent claims over original patent	Othe	r fee (s	pecify	n		0.00
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	Othe	r fee (s	specify	v)		0.00
SUBTOTAL (2) (\$) 552.00	·Red	duced b	y Basi	ic Filing	Fee Paid SUBTOTAL (3) (\$) Z	10.00
SUBMITTED BY	-				Complete (if applicable)	
Regis					26,074 Telephone 310-81	2-4910
TVOCI 1. 11cti			101			

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